

# Fingers in the parameter space of the complex standard family

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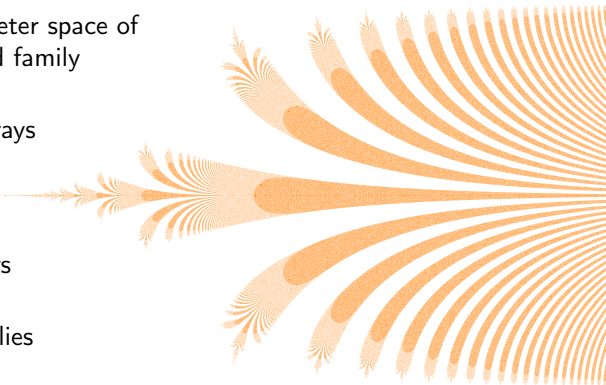
– joint work with Mitsuhiro Shishikura –



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Research Institute for Mathematical Sciences, Kyoto University  
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# Sketch of the talk

1. Introduction to the Arnol'd standard family
2. Fingers in the parameter space of the complex standard family
3. Invariant dynamical rays and parameter rays
4. Parabolic implosion and number of fingers
5. Fingers in other families



# The Arnol'd standard family

The Arnol'd **standard family** of circle maps is given by, for  $\alpha, \beta \in \mathbb{R}$ ,

$$F_{\alpha, \beta}(\theta) := \theta + \alpha + \beta \sin \theta \pmod{2\pi}, \quad \text{for } \theta \in [0, 2\pi),$$

and are transcendental perturbations of the rigid rotation of angle  $\alpha$

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For  $|\beta| < 1$ , the map  $F_{\alpha,\beta}$  is an orientation preserving homeomorphism of the circle.

Let  $\theta \in \mathbb{R}$ , the **rotation number** of  $F_{\alpha,\beta}$  is given by

$$\omega(F_{\alpha,\beta}) := \lim_{n \rightarrow \infty} \frac{F_{\alpha,\beta}^n(\theta) - \theta}{n} \in [0, 2\pi).$$

The rigid rotation of angle  $\alpha$  has rotation number equal to  $\alpha$ .

# Arnol'd tongues

To study the dependence of the rotation number on the parameters  $(\alpha, \beta)$ , for  $\rho \in [0, 2\pi)$  Arnol'd considered the sets of parameters

$$T_\rho := \{(\alpha, \beta) \in \mathbb{R}^2 : \omega(F_{\alpha, \beta}) = \rho\}$$

which are known as the **Arnol'd tongues**

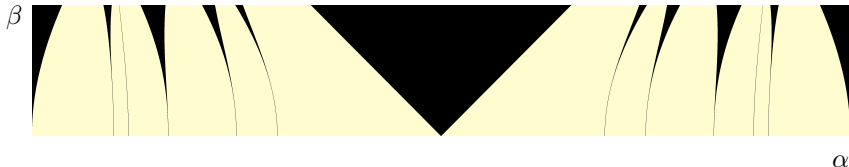
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- ▶ if  $\rho \in \mathbb{Q}$ , then  $T_\rho$  has non-empty interior,
- ▶ if  $\rho \in \mathbb{R} \setminus \mathbb{Q}$ , then  $T_\rho$  is a curve.



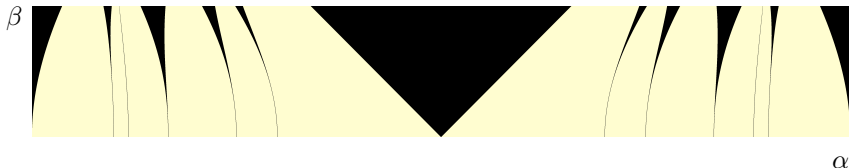
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The boundaries of the tongues are analytic curves and the tongue  $T_0$  of rotation number  $\rho = 0$  has boundaries given by  $\alpha = \pm\beta$ .



# The complex Arnol'd standard family

The Arnol'd standard family can be extended to a family of **transcendental self-maps of the punctured plane**  $\mathbb{C}^* = \mathbb{C} \setminus \{0\}$

$$f_{\alpha,\beta}(z) := ze^{i\alpha} e^{\beta(z-1/z)/2},$$

which has as lifts the family of transcendental entire functions

$$F_{\alpha,\beta}(z) := z + \alpha + \beta \sin z,$$

that is

$$\begin{array}{ccc} \mathbb{C} & \xrightarrow{F_{\alpha,\beta}} & \mathbb{C} \\ e^{iz} \downarrow & & \downarrow e^{iz} \\ \mathbb{C}^* & \xrightarrow{f_{\alpha,\beta}} & \mathbb{C}^* \end{array}$$

This is known as the **complex standard family** and the iteration of these functions was studied for the first time by Fagella in her PhD thesis.

## The $\alpha$ -parameter space

We fix the parameter  $0 < \beta < 1$  and **study the bifurcation with respect to the parameter  $\alpha \in \mathbb{C}$** . Note that this is **not a natural parameter space**.

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$$F_{\alpha,\beta}(z + 2\pi) = F_{\alpha,\beta}(z) + 2\pi,$$

and thus the  $\alpha$ -parameter space is  **$2\pi$ -periodic**.

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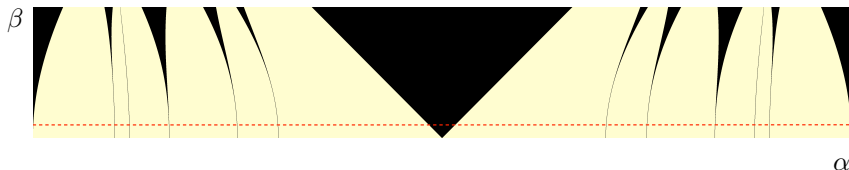
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Observe that the **real axis** of the  $\alpha$ -parameter space corresponds to the line at height  $\beta$  in the real parameter space where the Arnol'd tongues lie.



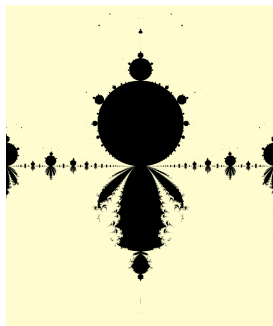
# The critical orbits

For  $0 < \beta < 1$ , the function  $F_{\alpha,\beta}$  has two critical points

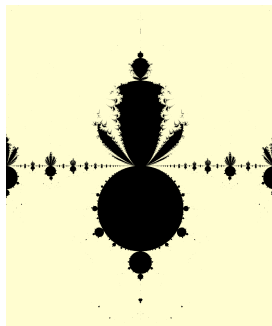
$$c_{\pm}^0 = -\pi \pm i \operatorname{arccosh}(1/\beta)$$

in the vertical band  $B_0$  that are complex conjugates and their orbits satisfy

$$F_{\alpha,\beta}^n(c_+^0) = \overline{F_{\alpha,\beta}^n(c_-^0)}, \quad \text{for all } n \in \mathbb{N}_0.$$



Iteration of  $c_+^0$   
for  $\alpha \in \mathbb{C}$  and  $\beta = 0.1$

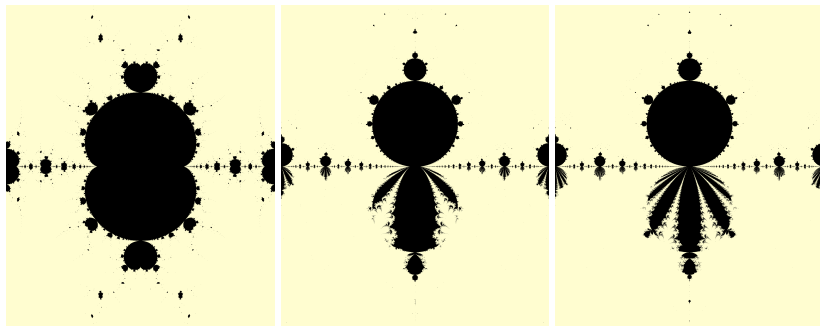


Iteration of  $c_-^0$   
for  $\alpha \in \mathbb{C}$  and  $\beta = 0.1$

# Finger-like structures

When  $\beta = 1$ , the  $\alpha$ -parameter space of the complex standard family is symmetric with respect to the real axis.

As we let  $\beta \rightarrow 0$ , we can observe an increasing number of finger-like structures appearing in the lower half plane, which seem to be contained in the reflection of the set in the upper half plane.



$\beta = 1$

$\beta = 0.1$

$\beta = 0.01$

## Limiting dynamics as $\beta \rightarrow 0$

If we set  $\beta = 0$ , then  $F_{\alpha,0}(z) = z + \alpha$ , the dynamics of which is trivial. However, Fagella showed that the dynamics of  $F_{\alpha,\beta}$  do not become trivial as  $\beta \rightarrow 0$ . She proved that we can rescale  $F_{\alpha,\beta}$  by setting

$$\tilde{z} = z + i \log(2/\beta)$$

and, in this variable, the function  $F_{\alpha,\beta}$  becomes

$$\tilde{F}_{\alpha,\beta}(\tilde{z}) = \tilde{z} + \alpha - ie^{i\tilde{z}} + i\frac{\beta^2}{4}e^{-i\tilde{z}}.$$

When we make  $\beta \rightarrow 0$ , we obtain the one parameter family

$$\tilde{F}_{\alpha,\beta}(\tilde{z}) \rightarrow \tilde{z} + \alpha - ie^{i\tilde{z}} =: G_{\alpha}(\tilde{z})$$

which are lifts of the family of transcendental self-maps of  $\mathbb{C}^*$

$$g_{\lambda}(z) = \lambda ze^z,$$

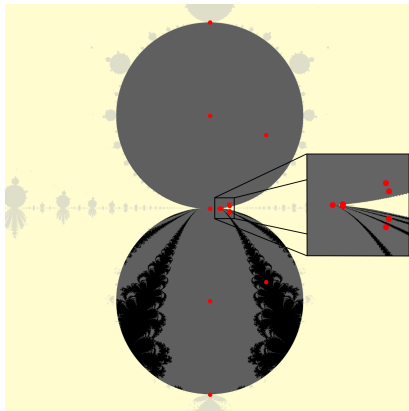
where  $\lambda = e^{i\alpha}$ .

# The region $\mathcal{A}_\beta$

We fix  $0 < \beta < 1$  and focus our study in the set of parameters

$\mathcal{A}_\beta := \{\alpha \in \mathbb{C} : \text{the function } F_{\alpha,\beta} \text{ has an **attracting fixed point } \xi\}**$

and for such  $\alpha$ , one critical point of  $F_{\alpha,\beta}$  lies in the immediate attracting basin of  $\xi$  while the other one is **free**.





## Definition of the fingers

For  $0 < \beta < 1$  and  $\alpha \in \mathcal{A}_\beta$ , the function  $F_{\alpha,\beta}$  has an attracting and a repelling fixed point in each vertical band of width  $2\pi$ . Let  $U_0$  be the immediate basin of attraction of the fixed point such that  $\xi = -\pi/2$  when  $\alpha = \beta$ , and define  $U_n = U_0 + 2n\pi$  for  $n \in \mathbb{Z}$ .

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For  $n \in \mathbb{Z}$ , we define the  $n$ th **finger** in  $\mathcal{A}_\beta$  as the subset

$$\mathcal{T}_\beta^n := \{\alpha \in \mathcal{A}_\beta : c_-^0 \in U_n\}.$$

By definition, the fingers  $\mathcal{T}_\beta^n$  are open sets.

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Observe that for  $\alpha \in \mathbb{R}$ ,  $F_{\alpha,\beta}^n(c_+^0) = \overline{F_{\alpha,\beta}^n(c_-^0)}$  for all  $n \in \mathbb{N}_0$  and hence the **central finger**  $\mathcal{T}_\beta^0$  contains the interval  $(-\beta, \beta) \subseteq \mathbb{R}$  which consists of parameters in the Arnol'd tongue  $T_0$ .

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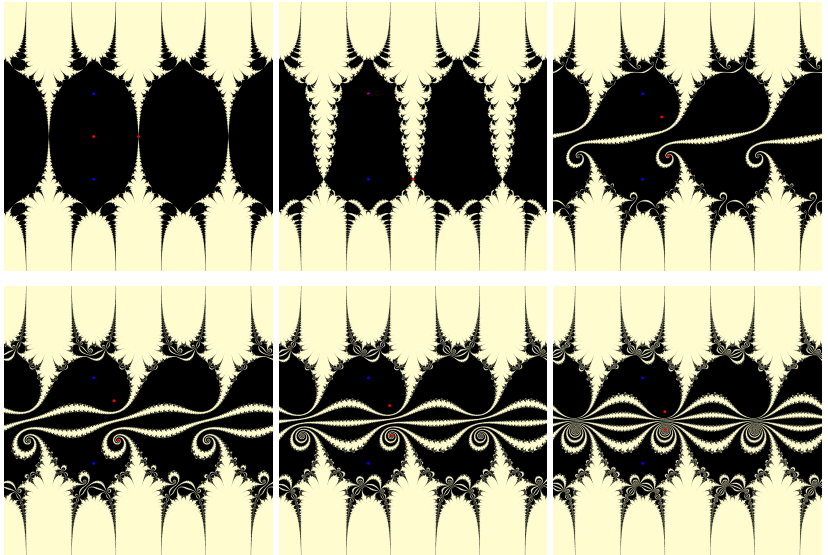
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**Question:** Are the sets  $\mathcal{T}_\beta^n \neq \emptyset$  for all  $n \in \mathbb{Z}$ ?

# Dynamics in the fingers

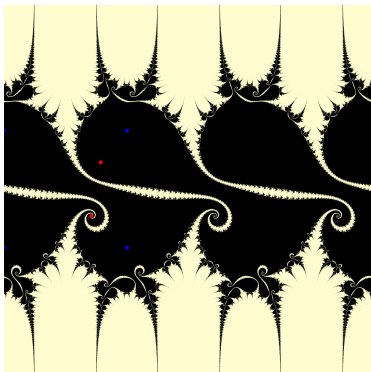
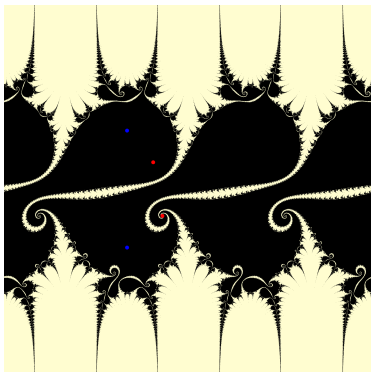


# Left and right fingers

Due to the fact that

$$F_{-\bar{\alpha},\beta}(-\bar{z}) = -\bar{z} - \bar{\alpha} + \beta \sin(-\bar{z}) = -\overline{F_{\alpha,\beta}(z)}$$

the  $\alpha$ -parameter space is symmetric with respect to the imaginary axis.



# Existence of dynamic rays

We say that a curve  $\gamma : (0, +\infty) \rightarrow \mathbb{C}$  is a **dynamic ray** of  $F_{\alpha,\beta}$  if

- ▶ for every  $n \in \mathbb{N}$ , the iterate  $F_{\alpha,\beta}^n(\gamma)$  is an injective curve such that  $|\operatorname{Im} F_{\alpha,\beta}^n(\gamma(t))| \rightarrow +\infty$  as  $t \rightarrow +\infty$ , and
- ▶ for every  $t > 0$ , the points in  $\gamma([t, +\infty))$  escape uniformly under iteration by  $F_{\alpha,\beta}$ , and  $\gamma$  is maximal with this property.

If, moreover,  $f(\gamma) \subseteq \gamma$ , we say that  $\gamma$  is **invariant**.

## Theorem (Fagella-MP, 2017)

Let  $F$  be a transcendental entire function of the form

$$F(z) = nz + P(e^{iz}) + Q(e^{-iz}) \text{ with } n \in \mathbb{Z} \text{ and } P, Q \text{ polynomials,}$$

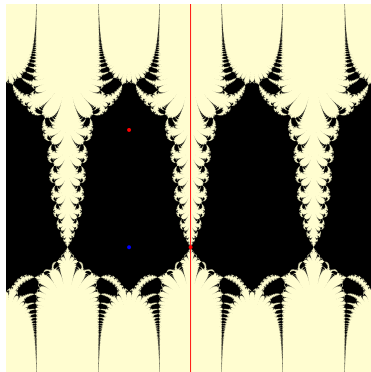
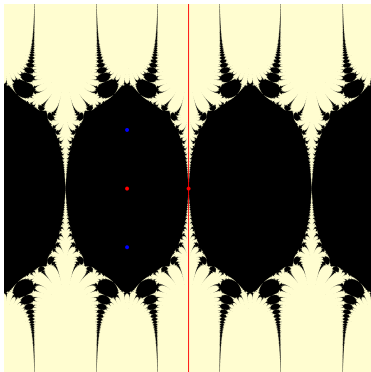
or a finite composition of such functions. If  $|\operatorname{Im} F^n(z)| \rightarrow +\infty$  as  $n \rightarrow \infty$ , then the point  $z$  lies in a dynamic ray.

The functions  $F_{\alpha,\beta}$  in the complex standard family satisfy these hypothesis.

# Invariant dynamical rays

When  $\operatorname{Re} \alpha = 0$ , the **imaginary axis** is forward invariant and consists of two dynamic rays landing together:

$$F_{\alpha, \beta}(iy) = i(y + \operatorname{Im} \alpha + \beta \sinh y), \quad \text{for } y \in \mathbb{R}.$$





# The dynamic rays $\gamma_0^\pm$

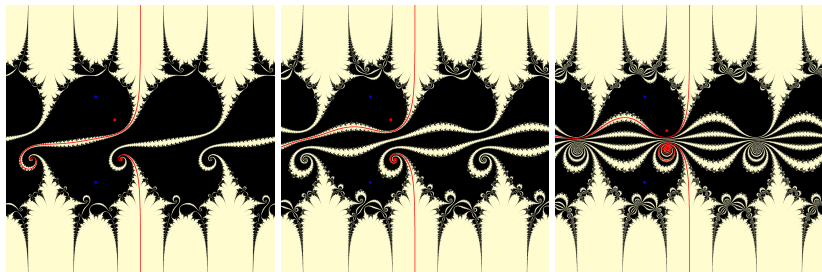
For every  $\alpha \in \mathbb{C}$  and  $0 < \beta < 1$ , there exist two **invariant dynamic rays**  $\gamma_0^\pm$  such that  $\operatorname{Re} \gamma_0^\pm(t) \rightarrow 0$  and  $\operatorname{Im} \gamma_0^\pm(t) \rightarrow \pm\infty$  as  $t \rightarrow +\infty$ , and

$$F_{\alpha,\beta}(\gamma_0^\pm(t)) = \gamma_0^\pm(H_\beta(t)), \quad \text{for all } t \geq T = T(\alpha, \beta),$$

where

$$H_\beta(t) := t + \beta \sinh t.$$

There is  $T' \geq T$  such that if  $\operatorname{Re} \alpha > 0$ , then  $\operatorname{Re} \gamma_0^\pm(t) < 0$  for all  $t \geq T'$ .



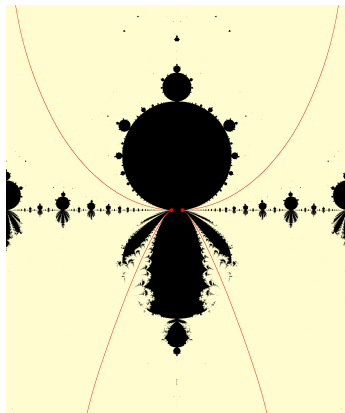
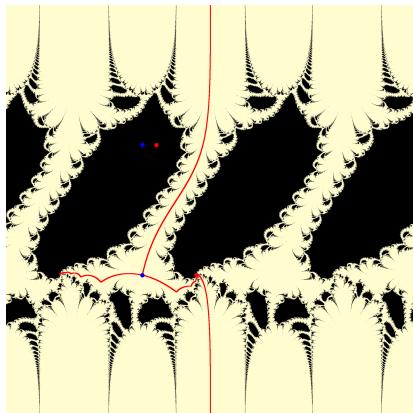
If  $\alpha$  belongs to a finger (or  $\alpha = \pm\beta$ ), then these dynamic rays **land** in one of the repelling (or parabolic) fixed points of  $F_{\alpha,\beta}$ .

# Parameter rays

For  $0 < \beta < 1$ , we can consider the **parameter rays** given by

$$\Gamma_n := \{\alpha \in \mathbb{C} : c_- \in \gamma_n^+\}, \quad \text{for } n \in \mathbb{Z}.$$

This defines a family of curves that land at the two parabolic parameters  $\alpha = \pm\beta$  in  $\partial\mathcal{A}_\beta$  and separate the fingers.

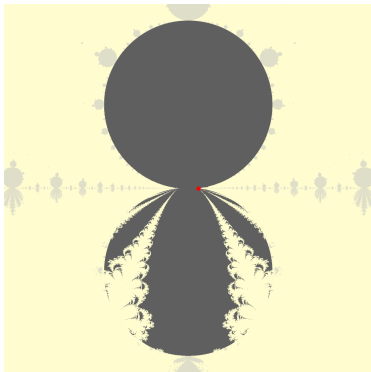


# The parabolic map $f_0$

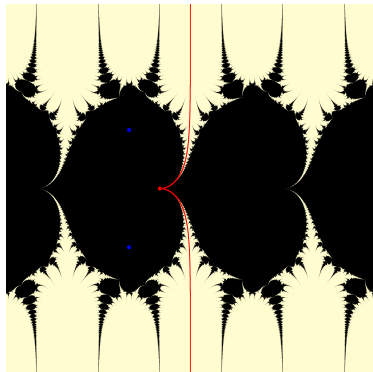
When  $\alpha = \beta$ , the map

$$f_0(z) := z + \alpha + \beta \sin z = z + \beta(1 + \sin z)$$

has a **parabolic fixed point** at  $z_0 = -\frac{\pi}{2}$  with  $f_0'(z_0) = 1$ .



Parameter space  $\mathcal{A}_\beta$   
with  $\beta = 0.1$



Dynamical plane of  $f_0$   
with  $\beta = 0.1$

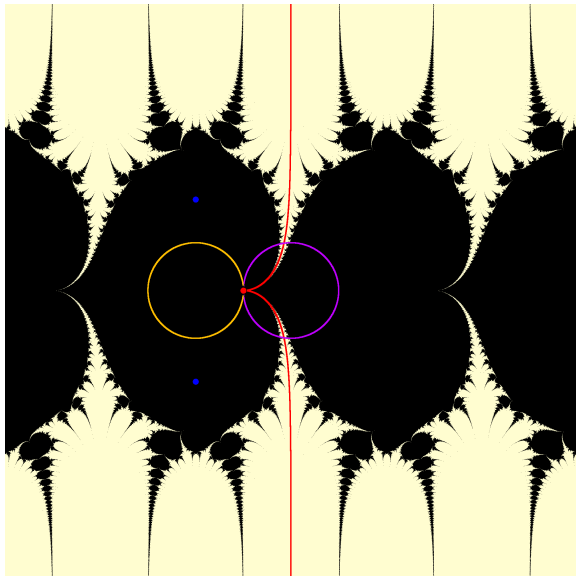
# Leau-Fatou flower theorem

Since  $f'_0(z_0) = e^{2\pi ip/q}$   
with  $p = 0$ ,  $q = 1$ , by  
the **Leau-Fatou flower  
theorem** there exist

an **attracting petal**  $S_-$   
such that  $f_0(S_-) \subseteq S_-$

and

a **repelling petal**  $S_+$   
such that  $f_0(S_+) \supseteq S_+$ .



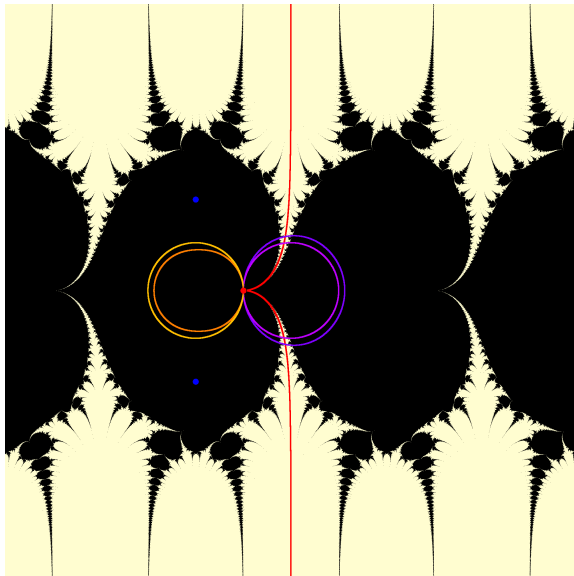
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# Fatou coordinates

There exist two univalent maps

$$\Phi_{\text{attr}} : V_- \rightarrow \mathbb{C} \quad \text{and} \quad \Phi_{\text{rep}} : V_+ \rightarrow \mathbb{C}$$

such that

$$\Phi_{\text{attr}}(f_0(z)) = \Phi_{\text{attr}}(z) + 1 \quad \text{and} \quad \Phi_{\text{rep}}(f_0(z)) = \Phi_{\text{rep}}(z) + 1$$

whenever  $z \in V_{\pm}$  and  $f_0(z) \in V_{\pm}$ . We can quotient by the dynamics and obtain maps

$$\tilde{\Phi}_{\text{attr}} : V_- \rightarrow \mathbb{C}/\mathbb{Z} \quad \text{and} \quad \tilde{\Phi}_{\text{rep}} : V_+ \rightarrow \mathbb{C}/\mathbb{Z}.$$

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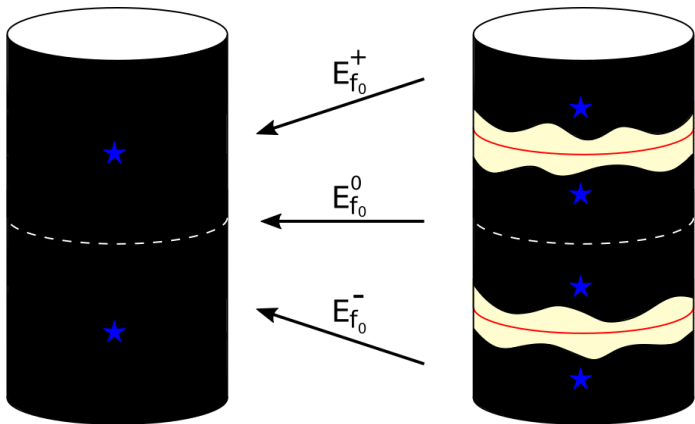
$$\tilde{\Phi}_{\text{attr}} : V_- \rightarrow \mathbb{C}/\mathbb{Z} \quad \text{and} \quad \tilde{\Phi}_{\text{rep}} : V_+ \rightarrow \mathbb{C}/\mathbb{Z}.$$

There exists a **horn map** from the repelling cylinder to the attracting cylinder which is a branched covering

$$E_{f_0} : \text{Dom}(E_{f_0}) \setminus f_0^{-1}(\{v_-, v_+\}) \rightarrow \mathbb{C}/\mathbb{Z} \setminus \{v_-, v_+\}$$

and  $\text{Dom}(E_{f_0})$  has **3 components** that contain the real axis and the two ends of the cylinder.

# Écalle cylinders





# Estimating the Fatou coordinates

Let  $\xi = z + \frac{\pi}{2}$  so that  $\xi = 0$  is the parabolic fixed point, in this variable

$$\tilde{f}_0(\xi) = \xi + \beta(1 - \cos \xi) = \xi + \frac{\beta}{2}\xi^2 + O(\xi^4).$$

We write

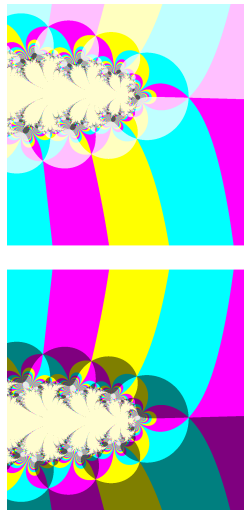
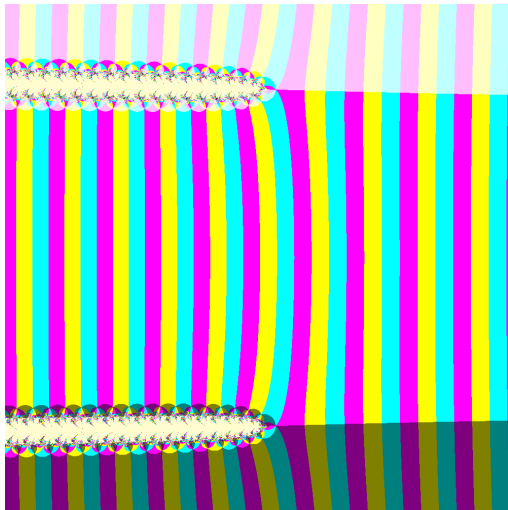
$$\tilde{f}_0(\xi) = \xi + v(\xi), \quad \text{where } v(\xi) = \beta(1 - \cos \xi),$$

and define the **flow coordinate** by

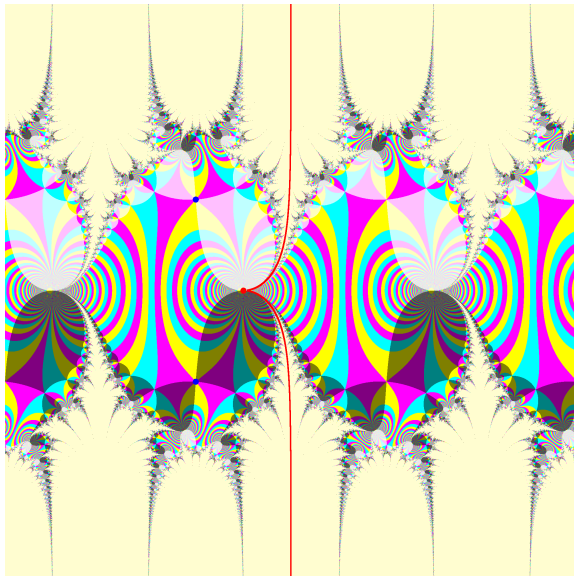
$$\Psi(\xi) = \int \frac{d\xi}{v(\xi)} = -\frac{1}{\beta} \cot \left( \frac{\xi}{2} \right).$$

which provides a good approximation of the Fatou coordinates.

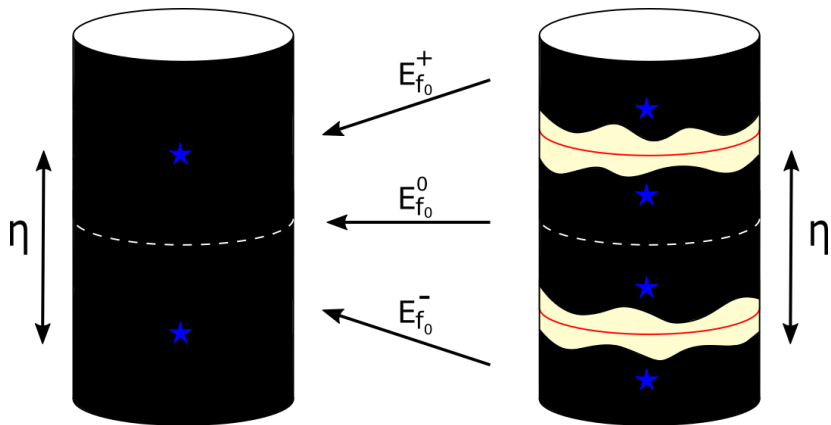
# Flow coordinate



# The parabolic checkerboard



# The constant $\eta$



## Estimating the constant $\eta$

In the flow coordinate, the critical values are

$$\Psi(v_{\pm}) = \pm \frac{i}{\beta} + e + o(1), \quad \text{as } \beta \rightarrow 0.$$

and use the following result on univalent functions to conclude that

$$\eta = \frac{2}{\beta} + o(1), \quad \text{as } \beta \rightarrow 0.$$

### Theorem (Shishikura)

*Suppose that  $\Phi$  and  $v$  are holomorphic functions in a region  $U$  satisfying:  $\Phi$  is univalent in  $U$ ,  $|v(z) - 1| < 1/4$  for  $z \in U$  and*

$$\Phi(z + v(z)) = \Phi(z) + 1, \quad \text{if } z, z + v(z) \in U.$$

*There exists a universal constant  $C > 0$  such that if  $U = D(z_0, R)$  with  $R \geq 2$ , then*

$$\left| \Phi'(z_0) - \frac{1 + \frac{1}{2}v'(z_0)}{v(z_0)} \right| \leq \frac{C}{R^2}.$$

# Fatou coordinates after perturbation

Let us now consider the maps

$$f_\varepsilon(z) = f_0(z) + \varepsilon = z + \alpha + \beta \sin z,$$

that is,  $\varepsilon = \alpha - \beta$ .

After perturbation, Fatou coordinates can still be defined: there exist maps

$$\Phi_{\text{attr}}^\varepsilon : V_-^\varepsilon \rightarrow \mathbb{C} \quad \text{and} \quad \Phi_{\text{rep}}^\varepsilon : V_+^\varepsilon \rightarrow \mathbb{C}$$

such that

$$\Phi_{\text{attr}}^\varepsilon(f_0(z)) = \Phi_{\text{attr}}^\varepsilon(z) + 1 \quad \text{and} \quad \Phi_{\text{rep}}^\varepsilon(f_0(z)) = \Phi_{\text{rep}}^\varepsilon(z) + 1$$

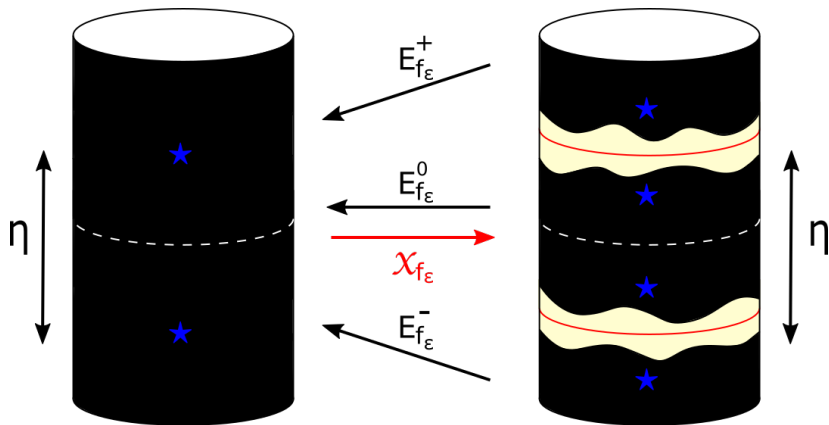
whenever  $z \in V_\pm^\varepsilon$  and  $f_0(z) \in V_\pm^\varepsilon$ . As before, there exists a horn map  $E_{f_\varepsilon}$  from the repelling cylinder to the attracting cylinder.

Now there exists a map  $\chi_\varepsilon$  from the attracting cylinder to the repelling cylinder

$$\chi_\varepsilon(z) = z + \pi \sqrt{\frac{2}{\beta}} \frac{1}{\sqrt{\varepsilon}} + o(1)$$

which allows us to identify both cylinders.

# Écalle cylinders after perturbation

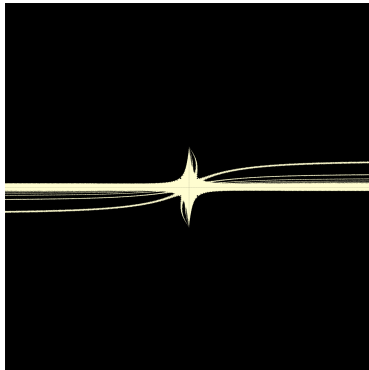
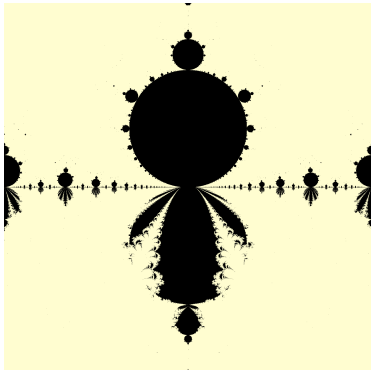


# Elephants

Consider the new parameter  $\gamma$  given by

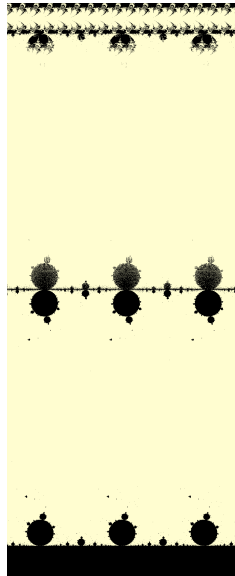
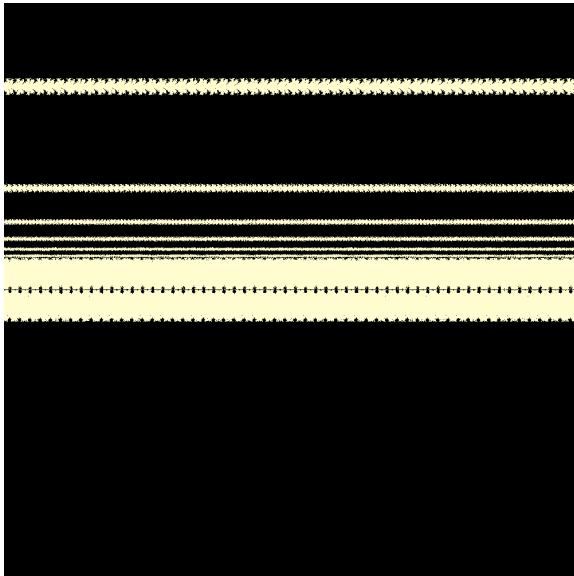
$$\alpha = \beta + \pi^2 \frac{2}{\beta} \frac{1}{\gamma^2}$$

so that  $\chi_\varepsilon(z) = z + \gamma + o(1)$  and  $\gamma$  is the new **translation constant**.  
The  $\gamma$ -parameter space is **1-periodic** asymptotically as  $\text{Re } \gamma \rightarrow \pm\infty$ .

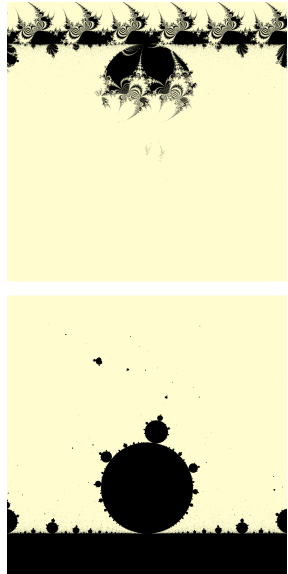
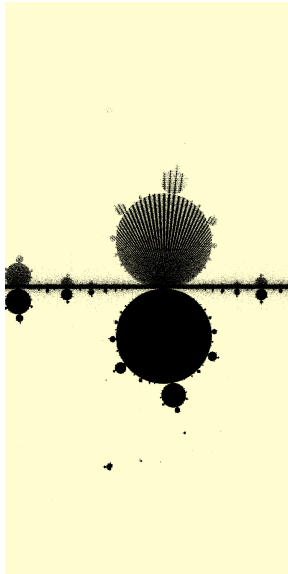
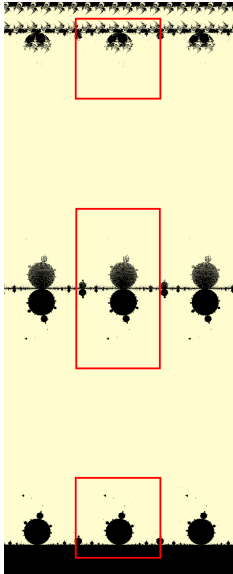




# Elephants

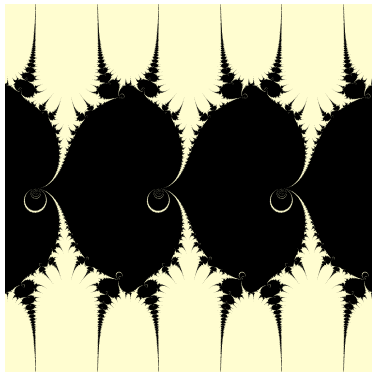


# Elephants



# Limits along the fingers

Let  $\gamma_k := \gamma_0 + k$ ,  $k \in \mathbb{N}$ , be a sequence such that  $\alpha_k = \beta + 2\pi^2 / (\beta\gamma_k^2) \in \mathcal{T}_\beta^n$  for all  $n \in \mathbb{N}$ . Then the Julia set of  $F_{\alpha_k, \beta}$  converges to a geometric limit that contains the Julia set of the parabolic map  $f_0$  but has more decorations.



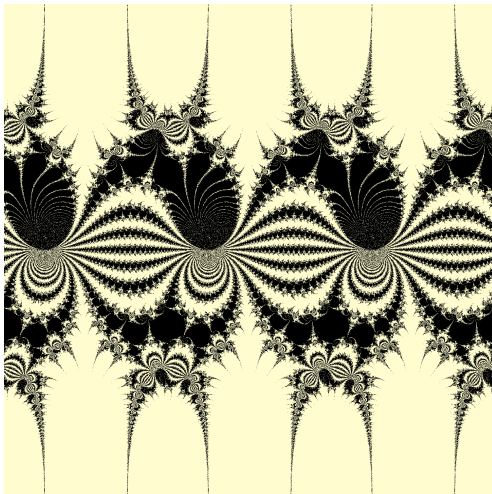
$\gamma_0 \in \mathcal{T}_\beta^0$  with  $\beta = 0.1$



$\gamma_0 \in \mathcal{T}_\beta^2$  with  $\beta = 0.1$

# Limits outside the fingers

The following picture corresponds the limit we obtain by taking a sequence  $\gamma_k := \gamma_0 + k$ ,  $k \in \mathbb{N}$ , where  $\alpha_k = \beta + 2\pi^2 / (\beta\gamma_k^2)$  belongs to a hyperbolic component tangent to  $\mathcal{A}_\beta$  with  $\beta = 0.1$ .

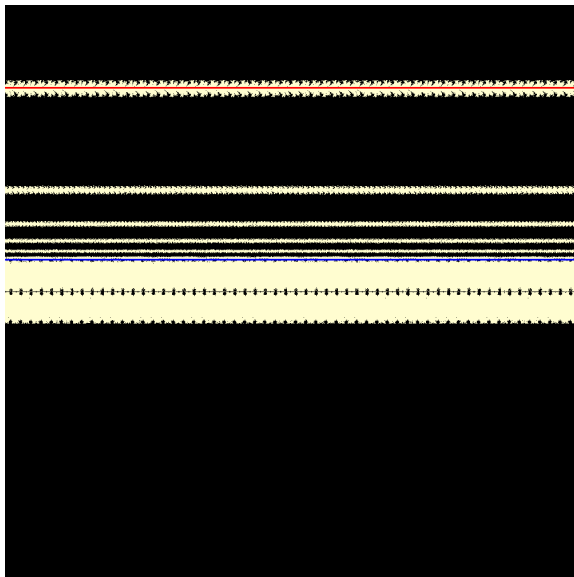


## Estimating the number of fingers

Let  $\delta(t)$  be a parametrisation of  $\partial\mathcal{A}_\beta$  such that  $\delta(0) = \beta$ . Consider

$$h := \lim_{t \rightarrow 0} \operatorname{Im} \pi \sqrt{\frac{2}{\beta} \frac{1}{\sqrt{\delta(t)} - \beta}} = \pi, \quad \eta := \operatorname{Im}(\Phi_{\text{attr}}(v_+) - \Phi_{\text{attr}}(v_-)) = \frac{2}{\beta}.$$

# Elephants



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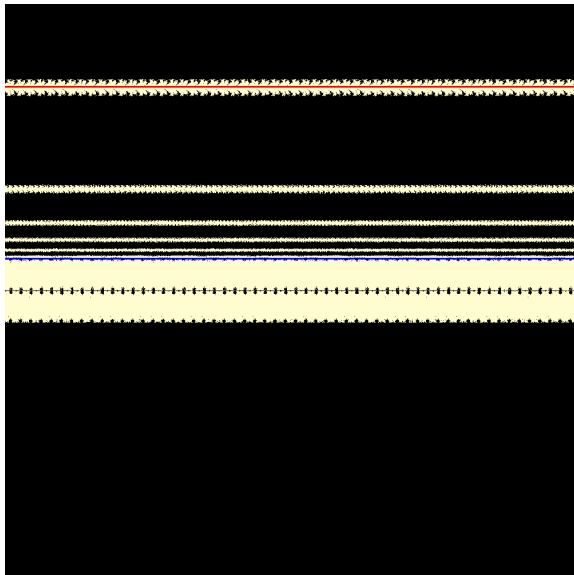
$$\eta/5 = 4$$

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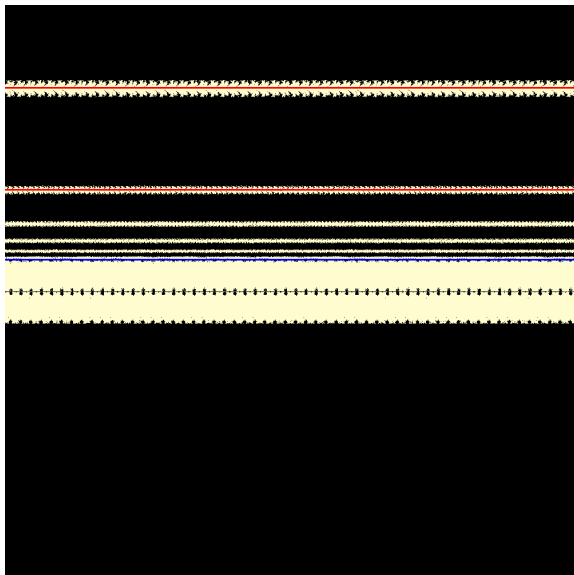
$$\eta/7 \simeq 2.857 < \pi$$

therefore in this case we have **6 fingers** to each side of the central finger.

# Elephants

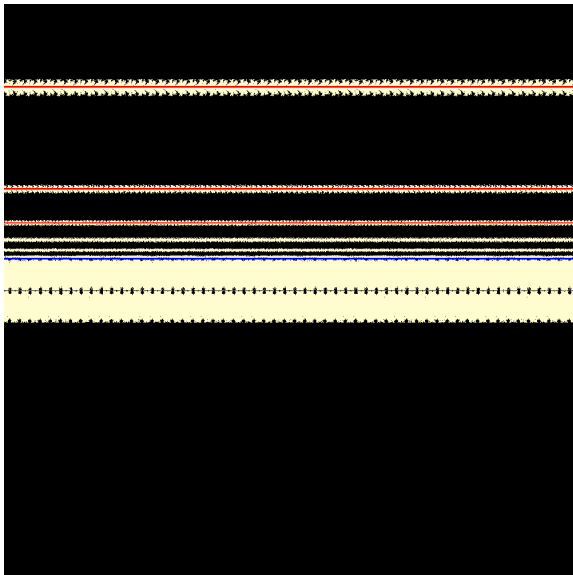


# Elephants

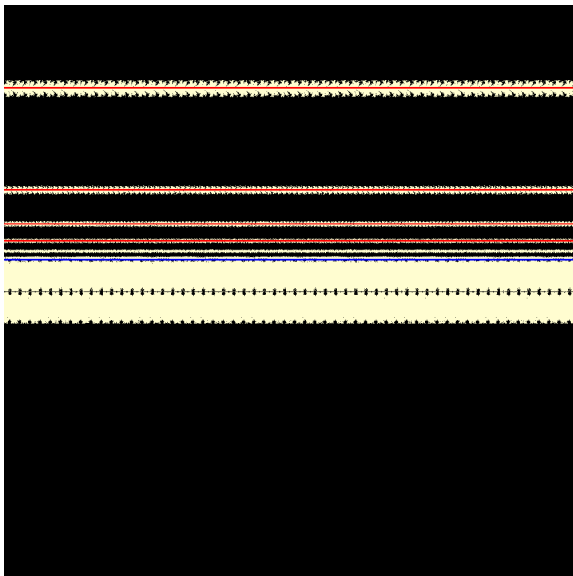




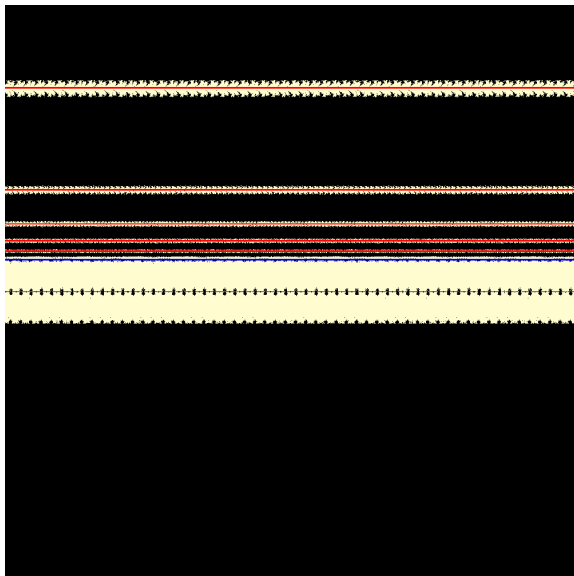
# Elephants



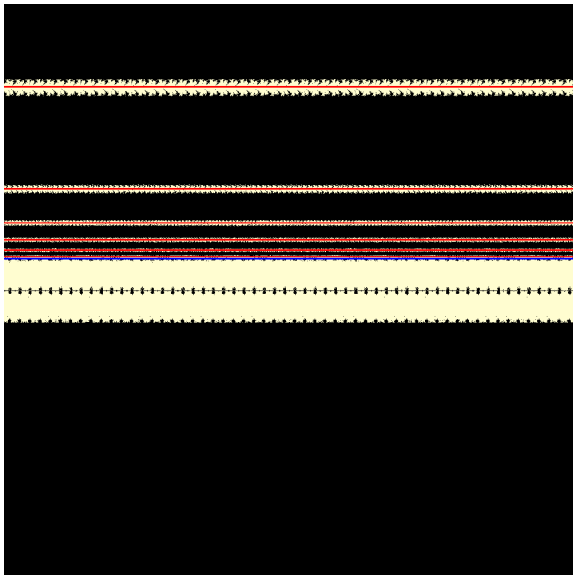
# Elephants



# Elephants



# Elephants

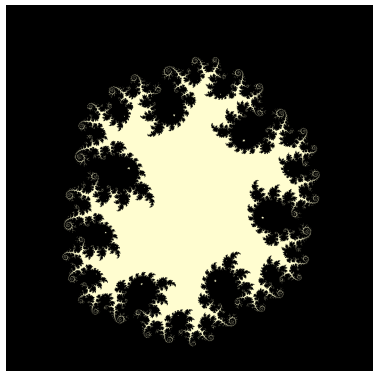
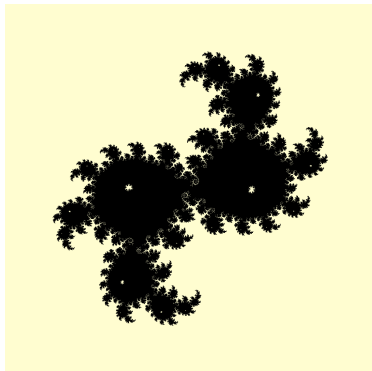


# A family of Blaschke products

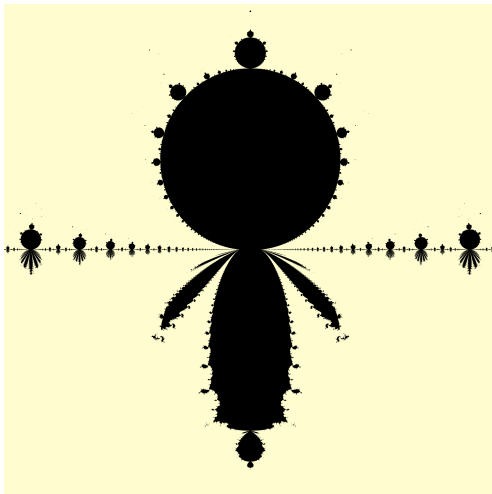
For  $\alpha \in \mathbb{C}$  and  $\beta \in \mathbb{R}$ , consider the family of rational functions

$$B_{\alpha,\beta}(z) := e^{\alpha i} z^2 \frac{1 + \beta z}{z + \beta}$$

such that  $B_{\alpha,\beta}(0) = 0$ ,  $B_{\alpha,\beta}(-\beta) = \infty$  and, for  $\alpha \in \mathbb{R}$ ,  $B_{\alpha,\beta}$  maps the unit circle to itself.



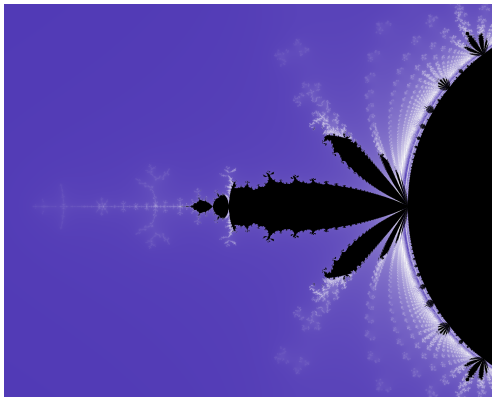
# Fingers for Blaschke products



The  $\alpha$ -parameter space of the family  $B_{\alpha,\beta}$  for  $\beta = 0.01$ .

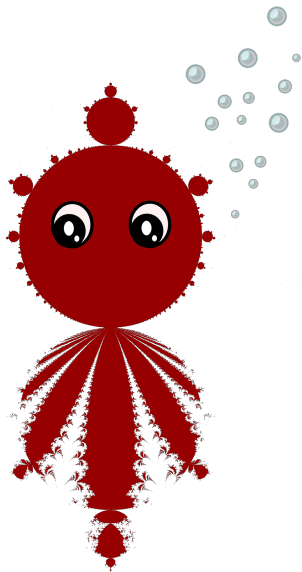
# Fingers for cubic polynomials and Hénon maps

Finger-like structures were observed for the first time by Hubbard in the study of Hénon maps in  $\mathbb{C}^2$ . Motivated by this, Radu and Tanase studied the family of cubic maps and also observed the existence of similar finger-like structures.



Picture of the fingers for Henon maps by Radu and Tanase.

Thank you  
for your  
attention!



ご静聴ありがとうございました。